

# Invesco FTSE RAFI US 1500 Small-Mid ETF

As of September 30, 2021



## Fund description

The Invesco FTSE RAFI US 1500 Small-Mid ETF (Fund) is based on the FTSE RAFI US 1500 Small-Mid Index (Index). The Fund will normally invest at least 90% of its total assets in common stocks that comprise the Index. The Index is designed to track the performance of small and medium-sized US companies. Companies in the index are selected based on the following four fundamental measures of size: book value, cash flow, sales and dividends. Each of the equities with a fundamental size ranking of 1,001 to 2,500 in the universe of 3,000 is then selected and assigned a weight equal to its fundamental value. The Portfolio and the Index are reconstituted annually.

## ETF Information

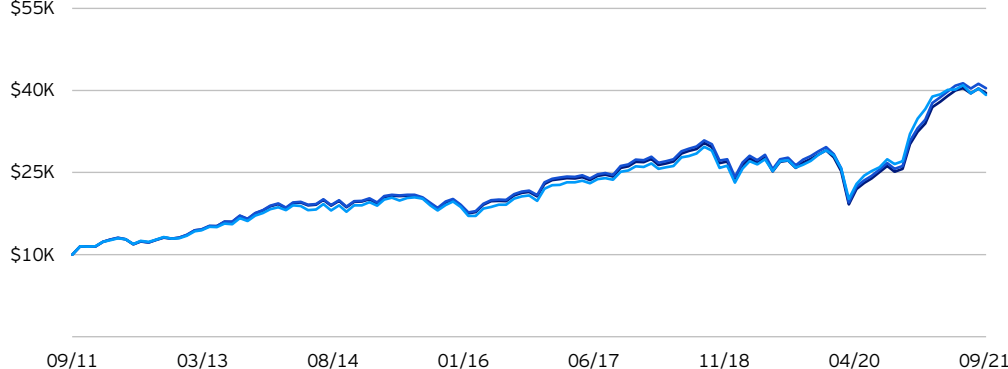
Fund Name	Invesco FTSE RAFI US 1500 Small-Mid ETF
Fund Ticker	PRFZ
CUSIP	46137V597
Intraday NAV	PRFZIV
30 Day SEC Unsubsidized Yield	0.84%
30 day SEC Yield	0.85%
Holdings	1467
Management Fee	0.29%
Total Expense Ratio	0.40%
P/B Ratio	4.16
P/E Ratio	19.85
Return on Equity	9.02%
Listing Exchange	Nasdaq
Weighted Market Cap (\$MM)	4,845.18

## Underlying Index Data

Index Provider	FTSE International Limited and Research Affiliates LLC
Index Name	FTSE RAFI US Mid Small 1500 Index
Index Ticker	FR15USTR

## Growth of \$10,000

- Invesco FTSE RAFI US 1500 Small-Mid ETF: \$39,559
- FTSE RAFI US Mid Small 1500 Index: \$40,421
- Russell 2000 Index: \$39,175



Data beginning 10 years prior to the ending date of September 30, 2021. Fund performance shown at NAV.

## Performance as at September 30, 2021

Performance (%)	YTD	1Y	3Y	5Y	10Y	Fund Inception
ETF - NAV	21.84	57.23	10.02	12.98	14.74	10.16
ETF - Market Price	21.86	57.33	10.09	13.01	14.77	10.17
Underlying Index	22.05	57.60	10.27	13.24	14.99	10.42
Benchmark <sup>1</sup>	12.41	47.68	10.54	13.45	14.63	9.06

## Calendar year performance (%)

	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011
ETF - NAV	11.61	22.00	-11.41	13.96	24.42	-5.70	4.48	41.80	18.29	-6.09
Underlying Index	11.74	22.37	-11.19	14.19	24.71	-5.49	4.70	42.17	18.53	-5.94
Benchmark <sup>1</sup>	19.96	25.52	-11.01	14.65	21.31	-4.41	4.89	38.82	16.35	-4.18

Returns less than one year are cumulative. Performance data quoted represents past performance. Past performance is not a guarantee of future results; current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate and Shares, when redeemed, may be worth more or less than their original cost. See [invesco.com](https://www.invesco.com) to find the most recent month-end performance numbers. Market returns are based on the midpoint of the bid/ask spread at 4 p.m. ET and do not represent the returns an investor would receive if shares were traded at other times. Fund performance reflects fee waivers, absent which, performance data quoted would have been lower.

## Fund inception: September 20, 2006

Not a Deposit Not FDIC Insured Not Guaranteed by the Bank May Lose Value Not Insured by any Federal Government Agency

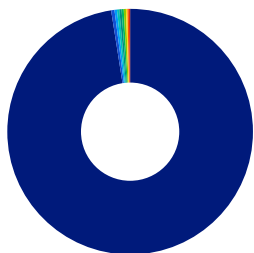
Shares are not individually redeemable and owners of the Shares may acquire those Shares from the Fund and tender those Shares for redemption to the Fund in Creation Unit aggregations only, typically consisting of 10,000 Shares.

Index returns do not represent Fund returns. An investor cannot invest directly in an index. Neither the underlying Index nor the benchmark indexes charge management fees or brokerage expenses, and no such fees or expenses were deducted from the performance shown; nor do any of the indexes lend securities, and no revenues from securities lending were added to the performance shown. In addition, the results actual investors might have achieved would have differed from those shown because of differences in the timing, amounts of their investments, and fees and expenses associated with an investment in the Fund. <sup>1</sup>The Russell 2000<sup>®</sup> Index is an unmanaged index considered representative of small-cap stocks. The Russell 2000 Index is a trademark/service mark of the Frank Russell Co. Russell<sup>®</sup> is a trademark of the Frank Russell Co.

Top ETF holdings (%) (Total holdings: 1467)	
Name	Weight
AMC Entertainment	0.46
Continental Resources Inc/OK	0.38
Herc	0.36
Range Resources	0.35
Centennial Resource Development 'A'	0.34
Matador Resources	0.30
Credit Acceptance	0.28
AMN Healthcare Services	0.27
Dillards 'A'	0.26
Express	0.26

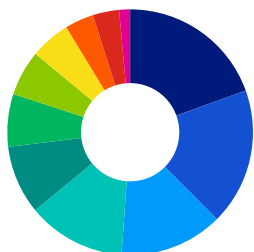
Please see the website for complete holdings information. Holdings are subject to change.

#### Geographic allocation (%)



United States	95.83
Puerto Rico	0.43
Brazil	0.32
Greece	0.30
Bermuda	0.28
Norway	0.28
Monaco	0.28
Sweden	0.19
Argentina	0.18
Germany	0.16

#### Sector allocation (%)



Financials	19.49
Industrials	18.02
Consumer Discretionary	13.60
Information Technology	12.95
Health Care	9.03
Real Estate	6.94
Energy	5.98
Materials	5.30
Communication Services	3.79
Consumer Staples	3.47
Utilities	1.43

#### Investment risk

There are risks involved with investing in ETFs, including possible loss of money. Shares are not actively managed and are subject to risks similar to those of stocks, including those regarding short selling and margin maintenance requirements. Ordinary brokerage commissions apply. The Fund's return may not match the return of the Underlying Index. The Fund is subject to certain other risks. Please see the current prospectus for more information regarding the risk associated with an investment in the Fund.

Stocks of small and mid-sized companies tend to be more vulnerable to adverse developments, may be more volatile, and may be illiquid or restricted as to resale.

Investments focused in a particular industry or sector are subject to greater risk, and are more greatly impacted by market volatility, than more diversified investments.

The Fund may become "non-diversified," as defined under the Investment Company Act of 1940, as amended, solely as a result of a change in relative market capitalization or index weighting of one or more constituents of the Index. Shareholder approval will not be sought when the Fund crosses from diversified to non-diversified status under such circumstances.

#### Important information

Typically, security classifications used in calculating allocation tables are as of the last trading day of the previous month.

The Global Industry Classification Standards was developed by and is the exclusive property and a service mark of MSCI, Inc. and Standard & Poor's.

Shares of the Fund are not in any way sponsored, endorsed, sold or promoted by FTSE International Limited ("FTSE"), or by the London Stock Exchange Plc ("Exchange"), or by The Financial Times Limited ("FT") or by Research Affiliates LLC ("RA"), and neither FTSE nor Exchange nor FT nor RA makes any warranty or representation whatsoever, expressly or implicitly, as to the results to be obtained from the use of the FTSE RAFI US Mid Small 1500 Index ("Index") and/or the figure at which the said Index stands at any particular time on any particular day or otherwise. The Index is compiled and calculated by FTSE in conjunction with RA; however, neither FTSE nor Exchange nor FT nor RA shall be liable (whether in negligence or otherwise) to any person for any error in the Index, and neither FTSE nor the Exchange nor FT nor RA shall be under any obligation to advise any person of any error therein. "FTSE" is a trademark of the London Stock Exchange Plc and The Financial Times Limited and is used by FTSE International Limited under license. "Research Affiliates" and "Fundamental Index" are trademarks of Research Affiliates.

This does not constitute a recommendation of any investment strategy or product for a particular investor. Investors should consult a financial professional before making any investment decisions.

**Before investing, investors should carefully read the prospectus and consider the investment objectives, risks, charges and expenses. For this and more complete information about the fund, investors should ask their financial professionals for a prospectus or download one at [invesco.com](https://www.invesco.com)**

Note: Not all products available through all firms or in all jurisdictions.

#### Glossary

**30 Day SEC Unsubsidized Yield** reflects the 30-day yield if the investment adviser were not waiving all or part of its fee or reimbursing the fund for part of its expenses. Total return would have also been lower in the absence of these temporary reimbursements or waivers.

**30 Day SEC Yield** is based on a 30-day period and is computed by dividing the net investment income per share earned during the period by the maximum offering price per share on the last day of the period.

**Intraday NAV** is a symbol representing estimated fair value based on the most recent intraday price of underlying assets.

**Weighted Harmonic Average Stock Price-to-Book-Value Ratio (P/B Ratio)** is the ratio of a stock's market price to a company's net asset value.

**Weighted Harmonic Average Stock Price-to-Earnings Ratio (P/E Ratio)** is the share price divided by earnings per share. It is measured on a 12-month trailing basis.

**Weighted Average Return on Equity** is net income divided by net worth.

**Weighted Market Capitalization** is the sum of each underlying securities market value.